Faculty
Nikhil P. Varaiya, Ph.D., Professor of Finance, Chair of Department
Swaminathan G. Badrinath, Ph.D., Professor of Finance
Andrew Q. Do, Ph.D., Professor of Finance (Graduate Adviser, Real Estate)
David P.ELY, Ph.D., Professor of Finance, Director of Graduate Programs in the College of Business Administration
Kamal M. Haddad, Ph.D., Professor of Finance (Graduate Adviser)
Mehdi Salehizadeh, Ph.D., Professor of Finance (Graduate Adviser, International Business)
Moon H. Song, Ph.D., Professor of Finance (Graduate Adviser)
William E. Sterk, Ph.D., Professor of Finance (Graduate Adviser)
Russell L. Block, J.D., Associate Professor of Finance, Emeritus
Xudong An, Ph.D., Assistant Professor of Finance
Stefano Gubellini, Ph.D., Assistant Professor of Finance
Jaemin Kim, Ph.D., Assistant Professor of Finance
Marie-Eve Lachance, Ph.D., Assistant Professor of Finance
Kuntara Pukthuanthong, Ph.D., Assistant Professor of Finance
Joseph K. Tanimura, Ph.D., Assistant Professor of Finance
Sehyun Yoo, Ph.D., Assistant Professor of Finance (IVC)

Courses Acceptable on Master's Degree Programs in Business Administration (FIN)
Refer to Courses and Curricula and Regulations of the Division of Graduate Affairs sections of this bulletin for explanation of the course numbering system, unit or credit hour, prerequisites, and related information.

UPPER DIVISION COURSES

FIN 522. Individual Insurance Management (3)
Prerequisites: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core. Economic, legal, social, and ethical considerations of individual, business and group insurance including life, health, property, and liability insurance. Risk exposure and policy analysis.

FIN 523. Employee Benefit Planning (2)
Prerequisites: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core. Employee benefit and pension planning, including regulation and taxation issues.

FIN 589. Personal Financial Planning (3)
Prerequisites: Finance 323. Financial planning process including data gathering, cash flow and debt considerations, goal programming (including retirement and education funding), integration, plan formulation, and implementation. Practice management considerations including establishment of ethical and legal, client and professional relationships.

GRADUATE COURSES

FIN 604. Legal Environment for Executives (3)
Legal environment of business, government regulation, social and ethical considerations in the administration of justice, substantive law of contracts, property, agency, and business organizations.

FIN 617. Financial Management II (3)
Prerequisites: Business Administration 665.
Develops topics to include asset pricing, capital budgeting techniques, dividend policy and financing decisions, applications of options and futures, term structure of interest rates, regulation of financial markets, leasing decisions, corporate control.

FIN 641. Financing the Emerging Enterprise (3)
Prerequisites: Business Administration 665.
Financial considerations in emerging and growing enterprises to include internal financial management, external funding sources, and dealing with venture capitalists. Emphasis on integration of theory, computer analysis, and human judgment in financial decision making.

FIN 642. Financial Risk Management (3)
Prerequisites: Business Administration 665.

FIN 651. Seminar in Investments (3)
Prerequisites: Business Administration 665.
Characteristics of financial markets and instruments. Contemporary as well as traditional approaches to problems of pricing individual securities; portfolio selection and analysis; techniques of analysis; measurement of risk; return, and investment values.

FIN 652. Seminar in Security Analysis and Portfolio Management (3)
Prerequisites: An upper division or graduate course in investments. Security valuation, alternative instruments, portfolio theory, active and passive management techniques, asset allocation, performance measurement, use of derivative instruments in portfolio management, debt portfolio management, ethical standards.

FIN 653. Case Studies in Financial Management (3)
Prerequisites: Business Administration 665.
Analyzes financial problems and management thought. Trends in financial institution management thought. Current events in financial institutions, changes likely to occur and proposed changes in laws and regulations.

FIN 654. Seminar in International Business Finance (3)
Prerequisites: Business Administration 665.
International financial instruments, markets, and institutions; international trade and capital flows; foreign exchange risks and their management; direct and portfolio investment; implications for conduct of global business.

FIN 656. Seminar in Financial Institutions (3)
Prerequisites: Business Administration 665.
Analyzes financial problems and management thought. Trends in asset management theory and liability management theory. Current events in financial institutions, changes likely to occur and proposed changes in laws and regulations.

FIN 657. Financial Counseling (3) Cr/NC
Prerequisites: Advancement to candidacy and Finance 651.
Decision-making process and theory of individual financial needs. Planning and implementation of financial strategies that aid in meeting family goals. Counseling. Ethics. Preparation of financial plans using cases and individuals.
FIN 659. Decision Making in the World Economy (3)
Prerequisites: Classified graduate standing.
Application of macroeconomic theory to business decision making, study of economic environment and government macroeconomic policy from a business viewpoint.

FIN 696. Seminar in Selected Topics (3)
Intensive study in specific areas of finance. May be repeated with new content. See Class Schedule for specific content. Credit for 596 and 696 applicable to a master’s degree with approval of the graduate adviser.

FIN 705. Seminar in Estate Planning (3)
Prerequisites: Business Administration 665.
The scope and nature of estate planning. Identification and analysis of the environmental factors and those aspects of federal and state law affecting estate planning and taxation. Estate taxation and social policy.

FIN 780. Seminar in Land Markets and Urban Development Issues (3)
Prerequisites: Graduate standing.
Land use and policy issues affecting the spatial environments for enterprise decisions. Legal and institutional framework for basic land market functions and operations. Housing market demand and supply determinants. Development issues and models of urban land use.

FIN 783. Seminar in Real Estate Investment and Development (3)
Prerequisites: Graduate standing.
Analysis of real estate investment and development decisions by corporations, individuals and financial institutions. Market and feasibility analysis, taxation, financing and risk evaluation, portfolio considerations.

FIN 784. Seminar in Real Estate Finance and Valuation (3)
Prerequisites: Graduate standing.
Application of valuation theory to real property and related mortgage instruments in a market context. Market definition, data collection and analysis. Value determinants and new valuation technologies. Special valuation and financing issues and cases.

FIN 797. Research (3) Cr/NC/RP
Prerequisites: Advancement to candidacy.
Research in the area of finance. Maximum credit six units applicable to a master’s degree.

FIN 798. Special Study (1-3) Cr/NC/RP
Prerequisites: Consent of staff; to be arranged with department chair and instructor.
Individual study. Maximum credit six units applicable to a master’s degree.